



doing nothing

**CHANGES
NOTHING**

HOURS OF OPERATION:

Monday- Friday: 9:00am - 6:00pm
Closed for lunch: 12:00pm - 1:00pm

MY
RIA
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Call 770-462-2118

OUR SERVICES



We are securities attorneys focused on helping financial advisory firms navigate the legal complexities of the RIA world. It's stressful enough ensuring your clients are receiving the best service you can provide, let alone making sure you are keeping up with your regulatory responses and dealing with operational and human resources issues. With My RIA Lawyer, you can trust that the compliance and legal work is getting done.



GENERAL COUNSEL

Our attorneys will help you stay in front of the myriad of issues facing the BD and RIA industry today.



COMPLIANCE

We offer monthly compliance services to independent RIAs, including outsourced CCO services.



RIA REGISTRATION

We will handle your transition, registration, and compliance infrastructure build out.



MERGERS & ACQUISITIONS

We work with both buyers and sellers managing negotiations, due diligence and contract drafting.



MOCK EXAMINATION

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We prepare PPMs and other fund creation docs, provide fund compliance and offer outsourced CFO services to funds.



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Leverage the team at My RIA Lawyer for outsourced CFO, CCO, COO and CLO.



ARBITRATION

We help with disputes with investors AND other industry members.



LEILA SHAVER

Founder and Managing Attorney

Leila Shaver is the Founder and Managing Partner of My RIA Lawyer. Leila brings a decade of experience providing legal and compliance guidance to the financial services industry with a healthy dose of energy, fun and full transparency.

Her boldness with regulators and experience as CCO and General Counsel to multi-billion dollar companies, has made her the go-to provider in the independent space. Leila is sought after for not only her expertise, but her willingness to help business owners meet their business goals while complying with state and federal regulation.

Changing the experience clients have with My RIA Lawyer, Leila is changing how firm owners see compliance and legal providers, not as business prevention departments, but business growth consultants.



JENNIFER WILLEY

Lead Attorney - Regulatory Defense

Jennifer is over the Regulatory Defense area of our law firm and she comes with 13 years of experience spanning securities litigation, enforcement, and compliance. She previously served as a director for a large law firm in Oklahoma City and served as counsel for national broker-dealers, financial advisors, and RIA's / IA's in FINRA, state courts, federal courts, and various regulatory bodies, including state securities departments and the SEC. She has defending or settled more than 110 cases across 32 states. Her practice has included regulatory defense, arbitration defense, and trial advocacy.

Jennifer is a graduate of Oklahoma City University School of Law and admitted to practice in Oklahoma, Texas, Ohio, and various federal courts. She is also a FINRA arbitrator.

Outside of work, Jennifer enjoys spending time with her husband and two boys, watching sports and movies, and doing barre.



LALAH JOHNSON

LEGAL INTERN

Lalah, a Nagoya, Japan native, is My RIA Lawyer's law clerk. She graduated from Portland State University with a Bachelor of Arts in Japanese, and she's a soon to be graduate of the University of Miami School of Law. Before attending law school, Lalah worked as an assistant and paralegal in Portland, acquiring over five years of experience in the legal field in various practice areas.

In her time at law school, the Dean Merits Scholarship recipient worked for boutique law firms and clerked for the Honorable Senior Judge Donald L. Graham of the United States District Court for the Southern District of Florida, and is a member of the Black Law Students Association.

In her time outside of the law school bubble, Lalah enjoys spending time with her 8-month old labradoodle pup, cooking and baking, virtual happy hours with family and friends, and boxing from home to channel her inner Laila Ali.



TORIAN ERKER

COMPLIANCE MANAGER

Torian graduated from Columbia Southern University with a Bachelor of Science in Business Administration with a concentration in Finance. While attending school, Tori worked in banking and then at an insurance company before accepting a role at a dually registered investment advisory firm. Tori has held positions in both sales and operations before transitioning into Compliance in 2017.

As a Compliance Officer her responsibilities included designing an email surveillance and incident reporting programs, drafting policy manuals and procedures, conducting enterprise wide employee trainings, conducting risk assessments and audits, and client complaints. Tori also has experience handling SEC and FINRA exams. Tori has the Series 7, 24, and 66 securities licenses along with her life and health insurance license and is a Certified Internal Auditor (CIA®).

Outside of work, Tori enjoys spending time with her husband and 2 toddlers, sewing and catching up on the latest Real Housewives franchise.

SAMPLE OF CLIENTS

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ATLANTA, GA**

\$1 BILLION AUM
COMPLIANCE CONSULTING

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PHOENIX, AZ**

\$200 MILLION AUM
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ORLANDO, FL**

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COUNSEL

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FEEDER FUND SET-UP FOR
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\$200 MILLION AUM
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SERVICES

**BD WITH
40 EMPLOYEES
MINNEAPOLIS, MN**

ONGOING COMPLIANCE AND
SUPERVISION, OUTSOURCED
GENERAL COUNSEL

* *The identities of our clients have been omitted as they are subject to attorney client privilege.*



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